

## I THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**Applicant** 

Richard ASSAKER et al.

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BONE PLATE ASSEMBLY

TC/Art Unit

3731

Examiner:

Eduardo C. Robert

Docket No.

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Customer No.

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith copies of the references listed on the attached Form PTO-SB/08A for consideration and to be made of record herein by the U.S. Patent and Trademark Office in the above-captioned application.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form PTO/SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

Applicants' submission of this Information Disclosure Statement shall not be construed as an admission that the cited references are prior art to the present invention, or that the cited references qualify as printed publications. The submission of this Information Disclosure Statement also shall not be construed as a representation that a prior art search has been performed.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being submitted prior to a first Office Action on the merits. Therefore, it is believed that no fee is required for consideration of this information. However, in the event any fee is deemed

necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

**HUNTON & WILLIAMS LLP** 

Dated: JUNE 8, 2005

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PTO/SB/08A (10/01) (modified)

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Substitute for form 1449A/PTO 10/771,284 **Application Number** JUN 0 8 2005 02/05/2004 Filing Date INFORMATION DISCLOSURE **First Named Inventor** Richard Assak STATEMENT BY APPLICANT 3731 **Art Unit** (use as many sheets as necessary) Confirmation No. 3238 **Eduardo Robert Examiner Name** 1 of 1 **Attorney Docket Number** 64118.000046 Sheet **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, DOCUMENT NUMBER \*Examiner Cite Where Relevant Passages or Publication Date Name of Patentee or Initials No. Relevant Figures Appear Number - Kind Code (if known) MM-DD-YYYY Applicant of Cited Document FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENT TRANSLATION Pages, Columns, Lines, Country Number - Kind Code **Publication Date** Cite Where Relevant Passages Name of Patentee or (if known) Code: Initial No. or Relevant Figures Appear MM-DD-YYYY Applicant of Cited Document YES NO WO 94/17744 08-18-1994 Lowery et al. OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials No. number(s), publisher, city and/or country where published TRANSLATION Denis J. DiAngelo et al., "Cervical Plating Systems Reverse Load Transfer Through Multi-Level Strut-Grafts," North American Congress on Biomechanics, University of Waterloo, Waterloo, Ontario, Canada, 3 pages (August 14-18, 1998). 510(k) Summary of Safety and Effectiveness in Accordance with SMDA of 1990 Aesculap ABC Cervical Plating System, U.S. Food and Drug Administration, 6 pages, Submitted December 15, 1997. Peak Fixation System, Polyaxial Cervical Plate, 20 pages, © 1998 DePuy Motech, Inc. Kevin T. Foley et al., Abstract of "Anterior Cervical Plating Reverses Load Transfer Through Multi-Level Strut-Grafts," Congress of Neurological Surgeons, Seattle Washington 1998. Kevin T. Foley et al., Abstract of "Anterior Cervical Plating Does Not Prevent Strut Graft Displacement in Multilevel Cervical Corpectomy," Congress of Neurological Surgeons, AANS 1997 Denver. Christopher G. Paramore et al., "Radiographic and Clinical Follow-Up Review of Caspar Plates in 49 Patients," 84 J. Neurosurg. 957-961 (1996). **EXAMINER SIGNATURE** DATE CONSIDERED \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.